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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1279-293/69905416

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U.S. Patent No. 6,379,907

Group Art Unit: 1642

Issued: April 30, 2002

Examiner: Jennifer Hunt

Patentees:

Shu-Yuan Liao

Eric J. Stanbridge

Serial No. 09/461,938

Filed: December 15, 1999

For: DIAGNOSTIC METHOD USING
EXPRESSION OF MN/CA9 PROTEIN
IN AGUS PAP SMEARS

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Date: July 3, 2002

JEAN BOVE

TRANSMITTAL LETTER

Assistant Commissioner For Patents
Washington, D.C. 20231

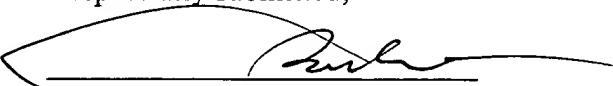
Dear Sir:

Transmitted herewith is a Disclaimer (35 U.S.C. 253, 37 C.R.R. 1.32(a)) along with a check in the amount of \$55.00 to cover the fee. Also attached is a copy of the assignment to The Regents of the University of California with the cover sheet.



Please debit any additional payment that may be due to our deposit account No. 50-0337 or credit any overpayment to said deposit account. A duplicate of this letter is attached for that purpose.

Respectfully submitted,


Robert Berliner
Registration No. 20,121

Fulbright & Jaworski L.L.P.
865 S. Figueroa St., #2900
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MARCH 30, 2000

PTAS

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RECORDATION DATE: 12/15/1999

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LIAO, SHU-YUAN M.D.

DOC DATE: 11/19/1999

ASSIGNOR:

STANBRIDGE, ERIC J., PH.D.

DOC DATE: 11/19/1999

ASSIGNEE:

REGENTS OF THE UNIVERSITY OF
CALIFORNIA, THE
1111 FRANKLIN STREET, 12TH FLOOR
OAKLAND, CALIFORNIA 94607-5200

SERIAL NUMBER: 09461938

FILING DATE: 12/15/1999

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To the Honorable Commissioner of Patents

attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Shu-Yuan Liao, M.D.
2. Eric J. Stanbridge, Ph.D.

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: November 19, 1999

2. Name and address of receiving party(ies):

Name: The Regents of the University of California

Address: 1111 Franklin Street, 12th Floor

City: Oakland

State/Prov.: CA

Country: USA

ZIP: 94607-5200

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

60/147,556

August 5, 1999

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Margaret A. Churchill, Ph.D.

Registration No. 39,944

Address: Fulbright & Jaworski LLP

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City: Los Angeles

State/Prov.: CA

Country: USA

ZIP: 90017

01/14/2000 NGUYEN 00000148 500337 09461938

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Margaret A. Churchill, Ph.D.

Margaret A. Churchill

12/15/99

Name of Person Signing

Signature

Date

2

Total number of pages including cover sheet, attachments, and

ASSIGNMENT

U.C. Case No. 2000-029-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S).

1. Shu-Yuan Liao, M.D.

2. Eric J. Stanbridge, Ph.D.

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, CA 94612-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: **DIAGNOSTIC METHODS USING EXPRESSION OF MN/CA9 PROTEIN IN AGUS PAP SMEARS**

and which is found in

(a) _____ U.S. provisional application filed herewith and listing the above-named persons as inventors

X

(b) _____ U.S. patent application filed herewith and listing the above-named persons as inventors

(c) _____ U.S. application serial no. _____, filed _____

(d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

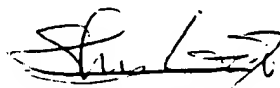
ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.


executed this

Signature of Inventor(s)

19 day of November, 1999


Shu-Yuan Liao, M.D.

9th day of November, 1999


Eric J. Stanbridge, Ph.D.